



Receipt

Attorney's Docket No.: 09010-017006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Patrick V. Warren et al.

Art Unit : 2152

Serial No. : 09/905,173

Examiner : Unknown

Filed : July 12, 2001

Title : TRANSAMINASES, NUCLEIC ACIDS ENCODING THEM AND METHODS OF MAKING AND USING THEM

RECEIVED

NOV 1 2002

Commissioner for Patents

Technology Center 2100

P.O. Box 2327

Arlington, VA 22202

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application as follows:

"This application is a CIP of 09/412,894 10/04/1999 PAT 6,268,188"

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

10/22/02
Date of Deposit

Signature

Jeanne Amour Rie
Jeanne Amour Rie

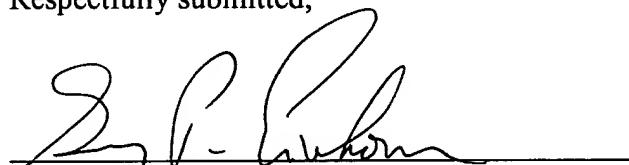
Typed or Printed Name of Person Signing Certificate

Applicant : Patrick V. Warren et al.
Serial No. : 09/905,173
Filed : July 12, 2001
Page : 2

Attorney's Docket No.: 09010-017006

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,



Gregory P. Einhorn
Reg. No. 38,440

Date: 10/21/02
Fish & Richardson P.C.
4350 La Jolla Village Drive, Suite 500
San Diego, California 92122
Telephone: (858) 678-5070
Facsimile: (858) 678-5099

10223131.doc

GPE
JXR
MKR



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/905,173	07/12/2001	2152	2195	09010-017006	24	92	21

Fish And Richardson P.L.
Suite 500
4350 LA Jolla Village Drive
San Diego, CA 92009



RECEIVED

OCT 11 2002

FISH & RICHARDSON, P.C.
SAN DIEGO

CONFIRMATION NO. 3152

CORRECTED FILING RECEIPT



OC00000008914479

Date Mailed: 10/07/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jay M. Short, Rancho Santa Fe, CA;
Patrick V. Warren, Philadelphia, PA;
Ronald V. Swanson, Media, PA;
Eric J. Mathur, Carlsbad, CA;

Docketed By Practice Systems	RECEIPT
Action Code:	CDR.FILING
Base Date:	10/7/02
Due Date:	
Deadline:	11/7/02
Initials:	SRH
Record:	

Domestic Priority data as claimed by applicant 894

This application is a CIP of 09/412,184 10/04/1999 PAT 6,268,188
and is a CIP of 09/389,537 09/02/1999
which is a CON of 08/646,590 05/08/1996 PAT 5,962,283
which is a CIP of 08/599,171 02/09/1996 PAT 5,814,473
and is a CIP of 09/481,733 01/11/2000
which is a CON of 09/069,226 04/27/1998 PAT 6,013,509
which is a CON of 08/599,171 02/09/1996 PAT 5,814,473

RECEIVED

NOV 1 2002

Technology Center 2100

Foreign Applications

If Required, Foreign Filing License Granted 10/31/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Docketed By Billing Secretary	
Due Date:	11/7/02
Deadline:	
Initials:	JXR

**** SMALL ENTITY ****

Title

Enzymes having transaminase and aminotransferase activity and methods of use thereof

Preliminary Class

709

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



RECEIVED

FILE COPY

NOV 19 2002

Commissioner for Patents
Washington, DC 20231
www.uspto.gov

TECH CENTER 1600/2900



Bib Data Sheet

CONFIRMATION NO. 3152

SERIAL NUMBER 09/905,173	FILING DATE 07/12/2001 RULE	CLASS 709	GROUP ART UNIT 2152	ATTORNEY DOCKET NO. 09010-017006
-----------------------------	-----------------------------------	--------------	------------------------	--

APPLICANTS

Jay M. Short, Rancho Santa Fe, CA;
 Patrick V. Warren, Philadelphia, PA;
 Ronald V. Swanson, Media, PA;
 Eric J. Mathur, Carlsbad, CA;

**** CONTINUING DATA *******

This application is a CIP of 09/412,894 10/05/1999
 and is a CIP of 09/389,537 09/02/1999
 which is a CON of 08/646,590 05/08/1996 PAT 5,962,283
 which is a CIP of 08/599,171 02/09/1996 PAT 5,814,473
 and is a CIP of 09/481,733 01/11/2000
 which is a CON of 09/069,226 04/27/1998 PAT 6,013,509
 which is a CON of 08/599,171 02/09/1996 PAT 5,814,473

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
 ** 10/31/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 24	TOTAL CLAIMS 92	INDEPENDENT CLAIMS 21
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

Fish And Richardson P.L.
 Suite 500
 4350 La Jolla Village Drive
 San Diego ,CA 92009

TITLE

Enzymes having transaminase and aminotransferase activity and methods of use thereof

FILING FEE RECEIVED 2195	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____
-----------------------------	---	--

Credit